

2-coloring dichotomy: for any graph  $G$ ,

either  $\chi(G) \leq 2$

or  $G$  contains an odd cycle

→ concrete obstruction to 2-coloring

There is a version of this for ctbl Borel chromatic #:

Thm (Kechris-Solecki-Todorćević,  $G_0$ -dichotomy):

There exists a Borel graph  $G_0$  s.t. for every analytic graph  $G$  on a Polish space, exactly one of the following holds:

①  $\chi_B(G) \leq \chi_{G_0}$  ( $G \rightarrow_B K_{\chi_{G_0}}$ )

↖  $G_0 \rightarrow_c G$

② there is a continuous homomorphism from  $G_0$  to  $G$ .

A homomorphism from  $H$  to  $G$ : a map  $f: V(H) \rightarrow V(G)$  that sends edges of  $H$  to edges of  $G$ , i.e., if  $\{x, y\} \in E(H)$ , then  $\{f(x), f(y)\} \in E(G)$ .

Note: A proper coloring  $\Leftrightarrow$  a homomorphism to a complete graph



Write:  $H \rightarrow_B G$  if there is a Borel homomorphism from  $H$  to  $G$

$H \rightarrow_c G$  if — " — " continuous — " — " — " —

Then  $H \rightarrow_c G \Rightarrow H \rightarrow_B G \Rightarrow \chi_B(H) \leq \chi_B(G)$

## Construction of $G_0$

Study certain subgraphs of  $H$ .

Let  $2^* = \{0, 1\}^* := \{\text{all finite strings of 0s and 1s}\}$ .

$\Gamma \subseteq 2^*$  is a subgraph if  $\{x, y\} \in \Gamma$  implies  $x \leq y$  or  $y \leq x$ .

for  $S \subseteq 2^*$ , let  $G_S$  be the spanning subgraph of  $H$  with

$\hookrightarrow V = \mathcal{C}$

edges of the form:

$$\{s^0 \frown t, s^1 \frown t\} \text{ for } s \in S, t \in \mathcal{C}.$$

(here  $\frown$  denotes concatenation)

Examples:

- $H = G_{2^*}$
- $G_\emptyset$  has no edges
- $G_{\{\emptyset, 0, 00, 000, 0000, \dots\}}$  = the shift graph + the pts of  $\mathcal{C}$  with finitely many 1s.

Properties of  $G_S$ :

Fact 1: If  $S \subseteq 2^*$  contains at most one string of each finite length, then  $G_S$  is a forest (no cycles).

Fact 2: If  $S \subseteq 2^*$  contains at least one string of each finite length, then for any  $x, y \in \mathcal{C}$ ,  
there is a path from  $x$  to  $y$  in  $G_S$   
 $\Leftrightarrow$  there is a path from  $x$  to  $y$  in  $H$   
 $\Leftrightarrow |x \frown y|$  is finite.

Proofs: HW5 😊

[ So, if  $S$  contains exactly one string of each finite length, then  $G_S$  is a spanning forest of  $H$ . ]

[ Defn: A set  $S \subseteq 2^*$  is dense if for  $t \in 2^*$ , there is some  $s \in S$  s.t.  $t \sqsubseteq s$  ( $t$  is a prefix of  $s$ ). ]

Note: There exists a dense set  $S \subseteq 2^*$  that includes exactly one string of each finite length:

$\emptyset$   
 $0$   
 $1 \ 0$   
 $00 \ 0$   
 $01 \ 00$   
 $10 \ 000$   
 $11 \ 0000$   
 $000 \ 00000$   
 $001 \ 000000$   
 $010 \ 0000000$   
 $011 \ 00000000$   
 $\dots$

Proposition: If  $S \subseteq 2^*$  is dense, then every  $G_S$ -independent Baire-measurable set  $I \subseteq \mathcal{C}$  is **meager**; hence  $\chi_B(G_S) = \chi_{BM}(G_S) = 2^{\aleph_0}$ .

If  $S$  is dense and includes one string of each length,  
 the graph  $G_S$  has **no cycles** and **uncntbl Borel  $\chi$** .

this is  $G_0$

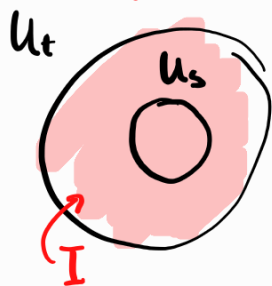
Proof: Suppose  $I \subseteq \mathcal{C}$  is Baire-measurable and **not** meager.

For each  $t \in 2^*$ , let  $U_t := \{x \in \mathcal{C} : t \sqsubset x\}$  **clopen**

These sets form a basis for the topology on  $\mathcal{C}$  (**exercise**).

Hence, by the Baire alternative, there is  $t \in 2^*$  s.t.  $I$  is **comeager** in  $U_t$ . Because  $S'$  is dense, we can find

$$s \in S' \text{ s.t. } t \sqsubseteq s \Rightarrow U_s \subseteq U_t$$



$$\Rightarrow I \text{ is comeager in } U_s.$$

Now let  $\ell := \text{length}(s)$  and define

$$f: \mathcal{C} \rightarrow \mathcal{C} \text{ by } f(x) = \text{"flip" the } \ell^{\text{th}} \text{ bit.}$$

Then  $f$  is a homeomorphism of  $\mathcal{C}$ , so  $f^{-1}(I)$  is comeager in  $f^{-1}(U_s) = U_s \Rightarrow I \cap f^{-1}(I)$  is comeager in  $U_s \Rightarrow$  there is a pt  $x \in I \cap f^{-1}(I) \cap U_s$ .

This means that  $f(x) \in I$ . But  $x$  and  $f(x)$  are adjacent

in  $G_S$ , hence  $I$  is not  $G_S$ -independent.

**Thm:** If  $S \subseteq 2^*$  contains exactly one string of each finite length, then, for every analytic graph  $G$  on a Polish space,  $\chi_B(G) = 2^{\aleph_0} \Rightarrow G_S \rightarrow_c G$ .

This implies the  $G_0$ -dichotomy by taking  $G_0 = G_S$  for  $S$  dense and as above.

Proof idea:  $S = \{\overset{\phi}{s_0}, s_1, s_2, \dots\}$  where  $\text{length}(s_i) = i$

"Approximate"  $G_S$  by finite graphs  $G_0, G_1, G_2, \dots$

$G_n$ : vertex set is  $\{0,1\}^n$

edges of the form  $\{s_i^0 t, s_i^1 t\}$  where  $i < n$

and  $t \in 2^*, \text{length}(t) = n-i-1$ .

Example:  $S' = \{\phi, 0, 01, 101, \dots\}$

$G_0$ :

$\phi$

$G_2$ :

$00$

$10$

$G_1$

$G_3$ :

$0$

$1$

$01$

$11$

$G_1$

$G_3$ :

$000$

$001$

$100$

$101$

$010$

$110$

$011$

$111$

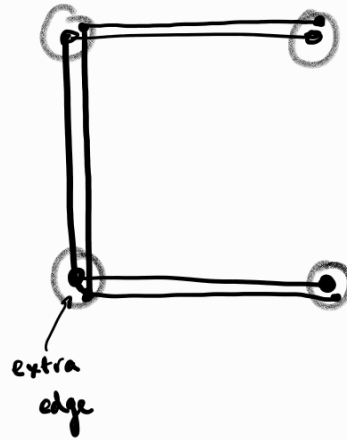
$G_2$

$G_2$

And so on....

$G_{n+1}$  is obtained from two copies of  $G_n$  by adding single edge between them joining  $s_n^0$  and  $s_n^1$ .

We will build a continuous homomorphism  $G_S \rightarrow G$   
as a "limit" of homomorphisms  $G_n \rightarrow G$  as  $n \rightarrow \infty$ .



TBC!